

NUP-A-99-15  
September 1999

Direct  $CP$ ,  $T$  and/or  $CPT$  violations in the  $K^0 - \overline{K^0}$  system  
- Implications of the recent KTeV results on  $2\pi$  decays -

Yoshihiro Takeuchi\* and S. Y. Tsai†

*Atomic Energy Research Institute and Department of Physics  
College of Science and Technology, Nihon University  
Kanda-Surugadai, Chiyoda-ku, Tokyo 101-8308, Japan*

**Abstract**

The recent results on the  $CP$  violating parameters  $\text{Re}(\varepsilon'/\varepsilon)$  and  $\Delta\phi \equiv \phi_{00} - \phi_{+-}$  reported by the KTeV Collaboration are analyzed with a view to constrain  $CP$ ,  $T$  and  $CPT$  violations in a decay process. Combining with some relevant data compiled by the Particle Data Group, we find  $\text{Re}(\varepsilon_2 - \varepsilon_0) = (0.85 \pm 3.11) \times 10^{-4}$  and  $\text{Im}(\varepsilon_2 - \varepsilon_0) = (3.2 \pm 0.7) \times 10^{-4}$ , where  $\text{Re}(\varepsilon_I)$  and  $\text{Im}(\varepsilon_I)$  represent respectively  $CP/CPT$  and  $CP/T$  violations in decay of  $K^0$  and  $\overline{K^0}$  into a  $2\pi$  state with isospin  $I$ .

PACS 11.30.Er, 13.20.Eb, 13.25.Es

---

\*E-mail address: yytake@phys.cst.nihon-u.ac.jp

†E-mail address: tsai@phys.cst.nihon-u.ac.jp

Although it has been well established since 1964 [1] that  $CP$  symmetry is violated in the  $K^0 - \overline{K^0}$  system, origin or mechanism of  $CP$  violation is not well understood yet on the one hand and no evidence of  $CP$  violation has been established in any other systems or processes on the other hand. Experimental, phenomenological and theoretical studies of this and related (i.e.,  $T$  and  $CPT$ ) symmetries need to be continued with much efforts.

The KTeV Collaboration [2] recently reported

$$\text{Re}(\varepsilon'/\varepsilon) = (2.80 \pm 0.41) \times 10^{-3}, \quad (1a)$$

$$\Delta\phi = (0.09 \pm 0.46)^\circ, \quad (1b)$$

and claimed that the fact  $\text{Re}(\varepsilon'/\varepsilon) \neq 0$  definitively established the existence of  $CP$  violation in a decay process. In the present note, we like to analyse in detail what the KTeV results imply and to see in particular how well  $CPT$  symmetry is tested compared to  $T$  symmetry.

*The  $K^0 - \overline{K^0}$  mixing and  $2\pi$  decays*

Let  $|K^0\rangle$  and  $|\overline{K^0}\rangle$  be eigenstates of the strong interaction with strangeness  $S = +1$  and  $-1$ , related to each other by  $(CP)$  and  $(CPT)$  operations as [3,4]

$$(CP)|K^0\rangle = e^{i\alpha_K}|\overline{K^0}\rangle, \quad (CPT)|K^0\rangle = e^{i\beta_K}|\overline{K^0}\rangle, \quad (2)$$

where  $\alpha_K$  and  $\beta_K$  are arbitrary real parameters. When the weak interaction  $H_W$  is switched on,  $K^0$  and  $\overline{K^0}$  decay into other states, generically denoted as  $n$ , and get mixed. The states with definite mass ( $m_{S,L}$ ) and width ( $\gamma_{S,L}$ ;  $\gamma_S > \gamma_L$  by definition) are linear combinations of  $K^0$  and  $\overline{K^0}$ :

$$|K_S\rangle = \frac{1}{\sqrt{|p_S|^2 + |q_S|^2}}(p_S|K^0\rangle + q_S|\overline{K^0}\rangle), \quad (3a)$$

$$|K_L\rangle = \frac{1}{\sqrt{|p_L|^2 + |q_L|^2}}(p_L|K^0\rangle - q_L|\overline{K^0}\rangle). \quad (3b)$$

The ratios of the mixing parameters,  $q_{S,L}/p_{S,L}$ , as well as  $\lambda_{S,L} \equiv m_{S,L} - i\gamma_{S,L}/2$ , are related to  $H_W$ ; the explicit expressions can be found in the literature [3,5]. We are interested in  $2\pi$  decays and specifically in the following quantities:

$$\eta_{+-} = |\eta_{+-}|e^{i\phi_{+-}} \equiv \frac{\langle \pi^+ \pi^-, \text{outgoing} | H_W | K_L \rangle}{\langle \pi^+ \pi^-, \text{outgoing} | H_W | K_S \rangle}, \quad (4a)$$

$$\eta_{00} = |\eta_{00}|e^{i\phi_{00}} \equiv \frac{\langle \pi^0 \pi^0, \text{outgoing} | H_W | K_L \rangle}{\langle \pi^0 \pi^0, \text{outgoing} | H_W | K_S \rangle}, \quad (4b)$$

$$r \equiv \frac{\gamma_S(\pi^+ \pi^-) - 2\gamma_S(\pi^0 \pi^0)}{\gamma_S(\pi^+ \pi^-) + \gamma_S(\pi^0 \pi^0)}, \quad (5)$$

where  $\gamma_{S,L}(n)$  denotes the partial width for  $K_{S,L}$  to decay into the final state  $n$ .

*Parametrization and conditions imposed by  $CP$ ,  $T$  and  $CPT$  symmetries*

We shall parametrize  $q_S/p_S$  and  $q_L/p_L$  as [3]

$$\frac{q_S}{p_S} = e^{i\alpha_K} \frac{1 - \varepsilon - \delta}{1 + \varepsilon + \delta}, \quad (6a)$$

$$\frac{q_L}{p_L} = e^{i\alpha_K} \frac{1 - \varepsilon + \delta}{1 + \varepsilon - \delta}, \quad (6b)$$

and the amplitudes for  $K^0$  and  $\overline{K^0}$  to decay into  $2\pi$  states with isospin  $I = 0$  or  $2$  as [3,6]

$$\langle (2\pi)_I | H_W | K^0 \rangle = F_I (1 + \varepsilon_I) e^{i\alpha_K/2}, \quad (7a)$$

$$\langle (2\pi)_I | H_W | \overline{K^0} \rangle = F_I (1 - \varepsilon_I) e^{-i\alpha_K/2}. \quad (7b)$$

Our parametrization is very unique in that it is invariant under rephasing of the initial states,  $|K^0\rangle$  and  $|\overline{K^0}\rangle$ . It is however not invariant under rephasing of the final states,  $|(2\pi)_I\rangle$ . By making use of the phase ambiguity, one may, without loss of generality, set [6]

$$\text{Im}(F_I) = 0. \quad (8)$$

One readily verify [3,6] that  $CP$ ,  $T$  and  $CPT$  symmetries impose such conditions as

$$\begin{aligned} CP \text{ symmetry} &: \varepsilon = 0, \delta = 0, \varepsilon_I = 0; \\ T \text{ symmetry} &: \varepsilon = 0, \text{Im}(\varepsilon_I) = 0; \\ CPT \text{ symmetry} &: \delta = 0, \text{Re}(\varepsilon_I) = 0. \end{aligned} \quad (9)$$

Observed and expected smallness of symmetry violation allows one to treat all these parameters as small.

*Formulae relevant for analysis*

Defining

$$\eta_I = |\eta_I| e^{i\phi_I} \equiv \frac{\langle (2\pi)_I | H_W | K_L \rangle}{\langle (2\pi)_I | H_W | K_S \rangle}, \quad (10a)$$

$$\omega \equiv \frac{\langle (2\pi)_2 | H_W | K_S \rangle}{\langle (2\pi)_0 | H_W | K_S \rangle}, \quad (10b)$$

one finds [7,8], from Eqs.(3a,b), (6a,b) and (7a,b),

$$\eta_I = \varepsilon - \delta + \varepsilon_I, \quad (11a)$$

$$\omega = \text{Re}(F_2)/\text{Re}(F_0), \quad (11b)$$

and, by means of isospin decomposition,

$$\eta_{+-} = \eta_0 + \varepsilon' , \quad (12a)$$

$$\eta_{00} = \eta_0 - 2\varepsilon' , \quad (12b)$$

$$r = 4\text{Re}(\omega') , \quad (13)$$

where

$$\varepsilon' \equiv (\eta_2 - \eta_0)\omega' , \quad (14a)$$

$$\omega' \equiv \frac{1}{\sqrt{2}}\omega e^{i(\delta_2 - \delta_0)} , \quad (14b)$$

$\delta_I$  being the S-wave  $\pi\pi$  scattering phase shift for the isospin  $I$  state at an energy of the rest mass of  $K^0$ . Note that we have treated  $\omega'$ , which is a measure of deviation from the  $\Delta I = 1/2$  rule, as well as a small quantity. From Eqs.(12a,b), it follows that

$$\eta_{00}/\eta_{+-} = 1 - 3\varepsilon'/\eta_0 , \quad (15)$$

or

$$\text{Re}(\varepsilon'/\eta_0) = (1/3)(1 - |\eta_{00}/\eta_{+-}|) , \quad (16a)$$

$$\text{Im}(\varepsilon'/\eta_0) = -(1/3)\Delta\phi , \quad (16b)$$

where

$$\Delta\phi \equiv \phi_{00} - \phi_{+-} . \quad (17)$$

### Implications of the KTeV results

With the help of the formulae derived above, we now look into implications of the latest results reported by the KTeV Collaboration [2]. We first note that, since  $\varepsilon$  in their notation corresponds exactly to  $\eta_0$  in our notation,<sup>†</sup> their results (1a,b) give, either immediately or with the help of Eqs.(16a,b),

$$\text{Re}(\varepsilon'/\eta_0) = (2.80 \pm 0.41) \times 10^{-3} , \quad (18a)$$

$$\text{Im}(\varepsilon'/\eta_0) = (-0.52 \pm 2.68) \times 10^{-3} , \quad (18b)$$

$$|\eta_{00}/\eta_{+-}| = 0.9916 \pm 0.0012 . \quad (18c)$$

From Eqs.(11a) and (14a), we immediately conclude that  $\varepsilon' \neq 0$  implies that either  $\varepsilon_0$  or  $\varepsilon_2$  (or both) is  $\neq 0$ ,<sup>§</sup> confirming the assertion that the KTeV result on  $\text{Re}(\varepsilon'/\varepsilon)$  established the existence of  $CP$  violation in a decay process [2].

---

<sup>†</sup>For the correspondence between our parametrization and the (more conventional) rephasing-dependent parametrizations, see [3,8].

<sup>§</sup>Note that the reverse is however not necessarily true; a nonvanishing but equal value for both  $\varepsilon_0$  and  $\varepsilon_2$  could yield  $\varepsilon' = 0$ .

To go one step further, we need to know the value of  $\eta_0$ . Since the KTeV collaboration has not yet reported their results on  $\eta_{+-}$  and  $\eta_{00}$  separately, we shall input the PDG [9] values for  $\eta_{+-}$ ,

$$|\eta_{+-}| = (2.285 \pm 0.019) \times 10^{-3}, \quad (19a)$$

$$\phi_{+-} = (43.5 \pm 0.6)^\circ, \quad (19b)$$

along with Eqs.(1b) and (18c), into

$$\eta_0 \simeq (2/3)\eta_{+-} + (1/3)\eta_{00}, \quad (20)$$

which follows from Eqs.(12a,b), to get

$$|\eta_0| = (2.28 \pm 0.02) \times 10^{-3}, \quad (21a)$$

$$\phi_0 = (43.53 \pm 0.94)^\circ. \quad (21b)$$

We shall also use the PDG [9] values for  $\gamma_S(\pi^+\pi^-)$  and  $\gamma_S(\pi^0\pi^0)$  to get, with the help of Eqs.(5) and (13),

$$\text{Re}(\omega') = (1.46 \pm 0.16) \times 10^{-2}. \quad (22)$$

In order to interpret Eqs.(18a,b), we derive from Eqs.(14a,b), with the aid of Eqs.(11a,b),

$$\varepsilon'/\eta_0 = -i\text{Re}(\omega')(\varepsilon_2 - \varepsilon_0)e^{-i\Delta\phi'}/[|\eta_0| \cos(\delta_2 - \delta_0)], \quad (23)$$

or

$$\varepsilon_2 - \varepsilon_0 = i(\varepsilon'/\eta_0)|\eta_0| \cos(\delta_2 - \delta_0)e^{i\Delta\phi'}/\text{Re}(\omega'), \quad (24)$$

where

$$\Delta\phi' \equiv \phi_0 - \delta_2 + \delta_0 - \pi/2. \quad (25)$$

Inputting Eqs.(18a,b), (21a,b) and (22), and  $\delta_2 - \delta_0$  as well, into Eq.(24), we are able to derive constraints to  $\text{Re}(\varepsilon_2 - \varepsilon_0)$  and  $\text{Im}(\varepsilon_2 - \varepsilon_0)$ :

$$\text{Re}(\varepsilon_2 - \varepsilon_0) = (0.85 \pm 3.11) \times 10^{-4}, \quad (26a)$$

$$\text{Im}(\varepsilon_2 - \varepsilon_0) = (3.2 \pm 0.7) \times 10^{-4}, \quad (26b)$$

where, as  $\delta_2 - \delta_0$ , we have tentatively used the Chell-Olsson value,  $(-42 \pm 4)^\circ$  [10].

### Discussion

Our result (26b) indicates that a combination of the parameters which signal direct  $CP$  and  $T$  violations,  $\text{Im}(\varepsilon_2 - \varepsilon_0)$ , is definitely nonzero and of the order of  $10^{-4}$ . The other result (26a) on the other hand indicates that a combination of the parameters which signal direct  $CP$  and  $CPT$  violations,  $\text{Re}(\varepsilon_2 - \varepsilon_0)$ , is not well

determined yet; though consistent with being zero, a value comparable to or even larger than  $\text{Im}(\varepsilon_2 - \varepsilon_0)$  is not ruled out.

If, instead of the KTeV values, Eqs.(1a,b), one inputs the PDG [9] values also for  $|\eta_{00}/\eta_{+-}|$  and  $\Delta\phi$ ,

$$|\eta_{00}/\eta_{+-}| = 0.9956 \pm 0.0023, \quad (27a)$$

$$\Delta\phi = (-0.1 \pm 0.8)^\circ, \quad (27b)$$

one will get

$$\text{Re}(\varepsilon'/\eta_0) = (1.5 \pm 0.8) \times 10^{-3}, \quad (28a)$$

$$\text{Im}(\varepsilon'/\eta_0) = (0.6 \pm 4.7) \times 10^{-3}, \quad (28b)$$

and

$$\text{Re}(\varepsilon_2 - \varepsilon_0) = (-0.56 \pm 5.45) \times 10^{-4}, \quad (29a)$$

$$\text{Im}(\varepsilon_2 - \varepsilon_0) = (1.8 \pm 1.0) \times 10^{-4}. \quad (29b)$$

With the help of the Bell-Steinberger relation [11], one may derive constraints to the "indirect" and "mixed"  $CP, T$  and/or  $CPT$  violating parameters [7,8,12,13]. It turns out that the values of the direct  $CP/T$  violating parameter we have obtained, Eqs.(26b) and(29b), are almost one order smaller than those of the indirect and mixed  $CP/T$  violating parameters,  $\text{Re}(\varepsilon)$  and  $\text{Im}(\varepsilon + \varepsilon_0)$ , while the constraints on the direct  $CP/CPT$  violating parameter we have found, Eqs.(26a) and (29a), are roughly one order weaker than those on the indirect and mixed  $CP/CPT$  violating parameters,  $\text{Im}(\delta)$  and  $\text{Re}(\delta - \varepsilon_0)$ .<sup>¶</sup>

To conclude, we recall that the numerical results (26a,b) and (29a,b) depend much on the value of  $\delta_2 - \delta_0$ , and that this quantity, which features strong interaction effects, is still not well determined. In order to obtain a better constraint on  $\varepsilon_2 - \varepsilon_0$ , a better determination of  $\delta_2 - \delta_0$ , along with a more precise measurement of  $\Delta\phi$ , are required.

## Acknowledgements

We are grateful to Professor T.Yamanaka for a discussion on the results and details of the KTeV experiment.

---

<sup>¶</sup> $\varepsilon_0$  and  $\varepsilon_2$  ( $\varepsilon$  and  $\delta$ ) are referred to as a direct (indirect) parameter here. Note that, as emphasized in [3], classification of symmetry-violating parameters into "direct" and "indirect" ones makes sense only when they are defined in a rephasing-invariant way, i.e., in such a way that they are invariant under rephasing of  $|K^0\rangle$  and  $|\bar{K}^0\rangle$ .

## References

- [1] J.H.Christenson et al., Phys.Rev.Lett. 13, 138 (1964).  
T.T.Wu and C.N.Yang, Phys.Rev.Lett. 13, 380 (1964).
- [2] A.Alavi-Harati et al., Phys.Rev.Lett. 83, 22 (1999).  
E.Blucher, talk presented at Rencontres de Moriond (March 15, 1999).
- [3] K.Kojima, W.Sugiyama and S.Y.Tsai, Prog.Theor.Phys. 95, 913 (1996).  
S.Y.Tsai, Mod.Phys.Lett. A 11, 2941 (1996).
- [4] W.J.Mantke, preprint (MPI-PhT/94-98).
- [5] T.D.Lee, R.Oehme and C.N.Yang, Phys.Rev. 106, 340 (1957).  
T.D.Lee and C.S.Wu, Ann.Rev.Nucl.Sci. 16, 511 (1966).
- [6] S.Y.Tsai, in Proceedings of the 8'th B-Physics International Workshop (Kawatabi, Miyagi, October 29-31,1998), edited by K.Abe et al., p.95.  
Y.Kouchi, Y.Takeuchi and S.Y.Tsai, preprint (NUP-A-99-14, hep-ph/9908201).
- [7] K.Kojima, A.Shinbori, W.Sugiyama and S.Y.Tsai, Prog.Theor.Phys. 97, 103 (1997).  
A.Shinbori, N.Hashimoto, K.Kojima, T.Mochizuki, W.Sugiyama and S.Y.Tsai, in Proceedings of the 5'th KEK Meeting on CP Violation and its Origin (KEK, March 6-7), edited by K.Hagiwara (KEK Proceedings 97-12, October 1997), p.181.
- [8] Y.Kouchi, master's thesis (in Japanese).  
Y.Kouchi, A.Shinbori, Y.Takeuchi and S.Y.Tsai, in Proceedings of the International Workshop on Fermion Masses and CP Violation (Hiroshima, March 5-6,1998), edited by T. Morozumi and T.Muta (Hiroshima University, 1998), p.79.
- [9] Particle Data Group, Eur.Phys.J. C 3, 1 (1998).
- [10] E.Chell and M.G.Olsson, Phys.Rev. D 48, 4076 (1993).
- [11] J.S.Bell and J.Steinberger, in Proceedings of the Oxford International Conference on Elementary Particles, edited by R.G.Moorhouse et al.(Lutherford Laboratory, 1965), p.195.
- [12] Y.Kouchi, Y.Takeuchi and S.Y.Tsai, in preparation.
- [13] CPLEAR Collaboration, A.Apostolakis et al., Phys.Lett. B 456, 297 (1999).